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| Form PTO-1449 (modified)  | Atty. Docket No.<br>RECC:002USC2       | Serial No.<br>Filed Concurrently |
| List of Patents and Publications for Applicant's<br><br>INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) | Applicant<br>Marvin E. Haddock         |                                  |
|   | Filing Date:<br>September 15, 2003     | Group:<br>Unknown                |
| U.S. Patent Documents<br>See Page 1   | Foreign Patent Documents<br>See Page 1 | Other Art<br>See Page 1          |

### U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Name                         | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|------------------------------|-------|-----------|---------------------|
| 66          | A1        | 3,931,342       | 01/06/76 | Sheng                        | 260   | 637       | 5/31/74             |
|             | A2        | 4,080,247       | 03/21/78 | Malakul                      | 159   | 17R       | 3/15/76             |
|             | A3        | 4,946,595       | 08/07/90 | Miller, Jr.                  | 210   | 651       | 9/9/88              |
|             | A4        | 4,996,874       | 03/05/91 | Colomer <i>et al.</i>        | 73    | 118.1     | 10/16/89            |
|             | A5        | 5,167,826       | 12/01/92 | Eaton                        | 210   | 651       | 7/3/91              |
|             | A6        | 4,427,507       | 1/24/84  | van Aken <i>et al.</i>       | 204   | 151       | 10/6/82             |
|             | A7        | 4,808,287       | 2/28/89  | Hark                         | 204   | 182.5     | 12/21/87            |
|             | A8        | 5,558,775       | 9/24/96  | Busch, Jr.                   | 210   | 638       | 11/1/94             |
|             | A9        | 5,817,889       | 10/6/98  | Pondebat <i>et al.</i>       | 568   | 679       | 10/27/94            |
|             | A10       | 5,037,588       | 8/91     | Kalnins                      | 210   | 702       |                     |
|             | A11       | 5,194,159       | 3/16/93  | George <i>et al.</i>         | 210   | 500.41    | 12/18/91            |
|             | A12       | 4,443,337       | 4/17/84  | Otani <i>et al.</i>          | 210   | 602       | 2/25/82             |
|             | A13       | 5,139,678       | 8/18/92  | Frederick, Jr. <i>et al.</i> | 210   | 195.2     | 5/14/90             |

### Foreign Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|------|---------|-------|-----------|--------------------|
|             |           |                 |      |         |       |           |                    |

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

| Exam. Init. | Ref. Des. | Citation  |
|-------------|-----------|---|
| 66          | C1        | Baryon Environmental brochure entitled: "Clarifies Wastewater."   |
|             | C2        | Eaton <i>et al.</i> , "Modern Reverse Osmosis Recycling of Used Engine Coolant," SAE Technical Paper Series 971773, 1997. |

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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| Exam.<br>Init. | Ref.<br>Des. | Citation  |
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|                | C3           | Eaton, "Engine Reliability Experience of Mixed Vehicle Fleets Operating on Engine Coolant Recycled with Reverse Osmosis Technology," SAE Technical paper Series 962239, 1996.   |
|                | C4           | Flow Schematic (Continuous) Baryon Model No. RCLM-05/AD (1-6 GPM Capacity).   |
| KM             | C5           | Haddock and Eaton, "Recycling used engine coolant using high-volume stationary, multiple technology equipment," <i>Engine Coolant Testing, Fourth Volume, ASTM STP 1335</i> , R. E. Beal, Ed., American Society for Testing and Materials, pp. 251-260, 1999. |
|                | C6           | Huff, "Using Reverse Osmosis to Recycle Engine Coolant," SAE Technical Paper Series 921635, 1992.   |
|                | C7           | Product Bulletin, Conoco.   |
|                | C8           | VORTOIL® Separation Systems brochure entitled: "deoiling," Advancing Separation Solutions™.   |
|                | C9           | VORTOIL® Separation Systems Operations & Maintenance manual Vortoil L-Liner Separator, Document No. 6803, Rev. 2, August 1995.  |
|                | C10          | VORTOIL® Separation Systems.  |

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Form PTO-1449 (modified)

Atty. Docket No.

RECC:002USC2

Serial No.

10/662,610

List of Patents and Publications for Applicant's

Applicant

Marvin E. Haddock

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| Exam. Init. | Ref. Des. | Citation   |
|-------------|-----------|--|
| LCM         | C11       | Haddock and Eaton, "Recycling used engine coolant using high-volume stationary, multiple technology equipment," American Society for Testing and Materials, West Conshohocken, Pennsylvania, pp. 1-14, 1997. |

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